



# The International Treaty

ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE



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## Item 21 of the Provisional Agenda

### FIFTH SESSION OF THE GOVERNING BODY

Muscat, Oman, 24 – 28 September 2013

## Financial Report on Progress of the Work Programme and Budget for the 2012-2013 Biennium

*Note by the Secretary*

### EXECUTIVE SUMMARY

1. This financial report is presented to the Governing Body in accordance with paragraphs xii) and xiii) of Resolution 9/2011 of the Fourth Session whereby the Secretary of the International Treaty was requested to “report on progress on income and expenditures, as well as any adjustments made to the budget in the biennium 2012-13” and to “provide a detailed financial report, well in advance, to each session of the Governing Body”
2. The present document deals with the financial position of the International Treaty in its entirety and has been prepared using the financial data available at 30 June 2013. Any significant financial matters occurring during the period July – September 2103 will be reported to the Governing Body at the time of its Fifth Session.
3. Particular emphasis is placed on the Core Administrative Budget as provided for in Financial Rule III.3a but summary information is also provided on the donor-supported Funds (the Special Funds for agreed purposes, and the Fund to Support the Participation of Developing Countries), as well as on the Benefit-sharing Fund (for the disbursement of funds resulting from Article 13.2d of the Treaty).

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## I. INTRODUCTION

1. The present document deals with the financial position of the International Treaty in its entirety and has been prepared using the financial data available at 30 June 2013. Any significant financial matters occurring during the period July – September 2103 will be reported to the Governing Body at the time of its Fifth Session.
2. Particular emphasis is placed on the Core Administrative Budget as provided for in Financial Rule III.3a), including analyses of the effects of under-funding of the approved budget on the implementation of the approved Work Programme.
3. In this context, it is encouraging to note that the level of voluntary contributions received during the first eighteen months of the biennium has remained largely in line with receipts during the 2010-11 biennium and that the outlook remains optimistic for the remainder of the biennium.
4. Furthermore, it is pleasing to note that the efforts made by the Secretariat and Contracting Parties in seeking to improve the budgetary position of the Core Administrative Budget for the Treaty have produced results. This improvement is also apparent in the level of information forthcoming from Contracting Parties which is facilitating improved cash management.
5. This document provides summary information on the donor-supported Funds (the Special Funds for agreed purposes, and the Fund to Support the Participation of Developing Countries) which are considered to form part of the Budget of the Treaty (in accordance with Financial Rule III.3b), as well as on the Benefit-sharing Fund (for the disbursement of funds resulting from Article 13.2d of the Treaty).
6. The diagram from the Financial Rules, showing these various elements, is included in *Appendix 1* to this document.

## II. THE CORE ADMINISTRATIVE BUDGET

### A. The structure of the 2012-13 Core Administrative Budget

7. The Core Administrative Budget of the International Treaty is funded by:
- a. The amount provided for the Treaty in the Regular Work Programme and Budget of the FAO under Rule V.1a
  - b. The voluntary contributions (hereinafter “contributions”) of Contracting Parties;
  - c. The voluntary contributions of States that are not Contracting Parties, of inter-governmental organizations, of non-governmental organizations and other entities, and
  - d. Funds carried over from the previous biennium, and miscellaneous income.
8. In the current biennium there have been no contributions under (c) above whereas the balance carried forward from the 2010-11 biennium amounted to USD 993,942 which included various segregated moneys as well as the Treaty reserve funds as follows:

	<b>USD</b>
<b>Amounts held as Reserve Funds at 31/12/2011:</b>	
<b>Third Party Beneficiary Operational Reserve</b>	243,265
<b>Working Capital Reserve</b>	145,482
<b>Other</b>	
<b>Contributions received in advance for the 2012-13 biennium:</b>	294,623
<b>Amounts set aside in relation to the repayment of borrowing from the Special Funds for Agreed Purposes at 31/12/2011 (para. c) of GB Resolution 9/2011):</b>	108,949
<b>Total segregated funds</b>	<b>792,319</b>

9. Accordingly, after considering the above amounts, the funds actually available to be carried forward to the 2012-13 biennium amounted to USD 201,623.
10. The Core Administrative Budget for the 2010/11 biennium as adopted by the Fourth Session of the Governing Body by Resolution 9/2011, envisaged a provision of USD 6,467,913 for the Work Programme, composed of:
- USD 1,987,200 from FAO<sup>1</sup> and
  - USD 4,480,713 from Contracting Parties
11. In addition, Resolution 9/2011 provided for the increase in the level of the Working Capital Reserve to USD 400,000 from the previous level of USD 350,000
12. *Appendix 2* provides the 2012/13 budget, as adopted.

### B. Contribution from FAO to the International Treaty

13. As mentioned in 10.above, the provisional sum made available to the International Treaty by FAO for the 2012-13 budget (in two annual instalments) was maintained at US\$1,987,200, as in the 2010-2011 biennium. In view of the increase in the level of the Core Administrative Budget (CAB) approved at the 4<sup>th</sup> Session of the Governing Body, this means that the FAO contribution, expressed as a percentage of the CAB, has declined from 36.24% in the 2010-11 biennium to a current level of 30.72%. The actual sum made available to the Treaty, in two annual instalments was USD 990, 043 for 2012 and the current estimate for 2013 is USD 992,106 giving a total for the biennium of USD 1,982,149 which is substantially in line with the amount originally budgeted.

<sup>1</sup> Programme Entity RU A04 G201.

### C. Contributions from Contracting Parties

14. A *Notification* for the amounts due to the Core Administrative Budget and the Working Capital Reserve was issued on 19 December 2011. Subsequently, an itemized call for contributions was issued in early 2012 to all Contracting Parties and other specific formal and informal follow-up actions have been undertaken since then.

15. As at 30 June 2013, 45 Contracting Parties (out of 127) had contributed to the Core Administrative Budget, for a total of USD 3,384,872.73 (including amounts due to the Working Capital Reserve and Third Party Beneficiary Operational Reserve).

<i>Contributions from Contracting Parties</i>	<i>Current biennium at 30 June 2013</i>	<i>2010-11 biennium at 31 December 2011 (for comparison)</i>
Core Administrative Budget	<b>3,320,511.70</b>	<b>2,934,255.10</b>
Working Capital Reserve <sup>2</sup>	<b>53,301.82</b>	<b>145,482.18</b>
Third Party Beneficiary Operational Reserve	<b>11,059.21</b>	<b>243,264.87</b>
Total	<b>3,384,872.73</b>	<b>3,323,002.15</b>

16. Contributions to the Core Administrative Budget were equivalent to approximately 74% of the contributions expected from Contracting Parties, or approximately 51% of the total CAB (including FAO and Contracting Parties' contributions). It is encouraging to note that at the end of 2012, a contribution has been received from the European Commission for the first time.

17. *Appendix 3* provides a list of the contributions made to date by Contracting Parties, with the figures for the full 2010-11 biennium shown for comparison. *Appendix 4* provides a breakdown, by region, of Contracting Parties that have contributed to the Core Administrative Budget in the current biennium.

18. Details of receipts of contributions for the period July 2013 – September 2013 will be provided to the Governing Body at the time of its Fifth Session

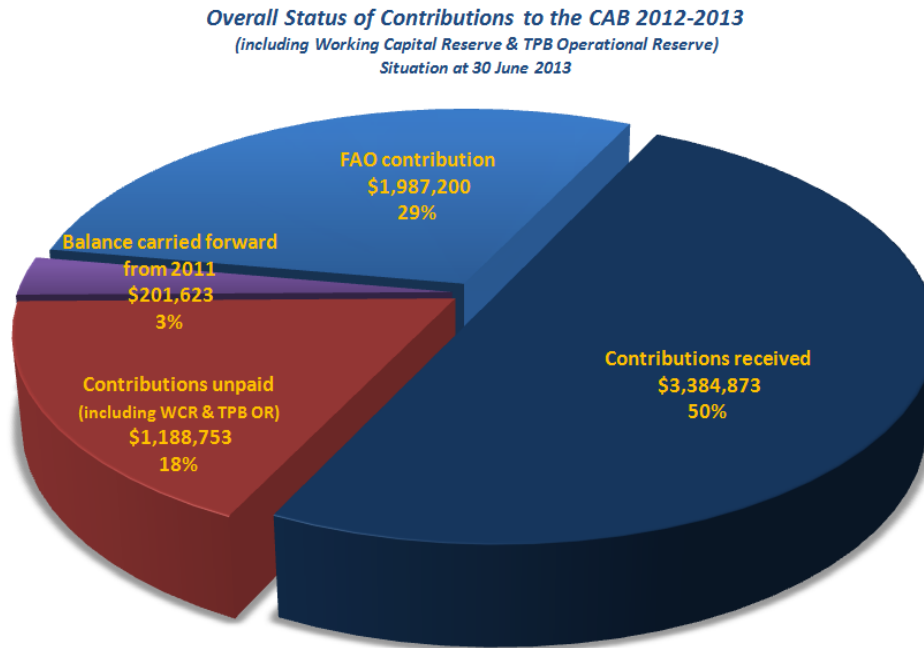
19. The general trend to date has been quite positive and essentially in line with that of the previous biennium, leading to the conviction that the shortfalls experienced in earlier biennia will no longer occur. Nonetheless, the prospect for receipts for the remaining period in 2013 is less reassuring (also because a number of CPs have already paid the contribution for the entire biennium during 2012). Despite the contribution from the European Commission (of around USD 130,000 for the biennium), it appears highly unlikely at this stage that the Treaty will be able to approach full funding of the CAB for the biennium. Indeed, a figure of something around 80% appears to be more realistic (receipts in 2010-11 reached over 85% of the budgeted CAB)

20. It should be recalled that only 45 Contracting Parties (out of 127 at the beginning of the biennium, including the EU), or 35%, have made any payment as at 30 June 2013. At the end of the 2010-2011 biennium, contributions had been received from 50 Contracting Parties or 41% of the membership at that time.

21. Furthermore, the difficult international financial situation where Contracting Parties are obliged to cut back on expenditure to international organizations, underlines the insecurity of the voluntary nature of the funding of the Treaty. The use of the Indicative Scale of Contributions for voluntary contributions of Contracting Parties, which is adhered to by the majority of them when making contributions, makes it difficult to pick up the residual funding required to meet the shortfall in the total adopted CAB created by those cases where a major Contracting Party pays a figure significantly inferior to the amount originally suggested by the scale.

22. *Figure 1* shows the status of the Core Administrative Budget, Working Capital Reserve and Third Party Beneficiary Operational Reserve graphically at 30 June 2013.

<sup>2</sup> The level of the Working Capital Reserve was increased from US\$350,000 to US\$400,000 at the 4<sup>th</sup> Session of the Governing Body. Receipts during 2012-13 are essentially against this increase in level of US\$50,000.

**Figure 1**

#### ***D. Amounts due to the Special Funds carried forward from 2008-09***

23. As was reported to the Governing Body at its 3<sup>rd</sup> and 4<sup>th</sup> Sessions, the implementation of a considerable part of the Core Work Programme and the maintenance of the Secretariat in the 2008-09 biennium was only possible thanks to the support of those Contracting Parties which allowed part of their contributions to the Special Funds for Agreed Purposes to be temporarily used for the Core Administrative Budget.

24. The total of sums borrowed, totalled USD 824,975 at the end of 2009 and remained as an obligation to be liquidated in a future period. A small number of Contracting Parties made contributions during the 2010-11 biennium specifying that the sums they contributed were to be applied against amounts due in previous biennia. This reduced the outstanding obligation to the level of US\$716,026 noted by the GB in Resolution 9/2011, paragraph c).

25. In this connection, Contracting Parties have been invited to make contributions for 2012-13 (as they were for 2010-11 taking into account the consolidated budget and the accumulated debt, “... This obligation has to be repaid to the Special Funds as rapidly as possible in order to enable the activities originally planned to be executed. Accordingly, Contracting Parties, particularly those that made no payment or only a limited payment to the Core Administrative Budget during the 2008-2009 and the 2010-11 biennia, are encouraged to cover this liability by effecting additional contributions in the 2012-13 biennium.”

26. Following this appeal, a small number of Contracting Parties have made contributions specifying that their sums were to be applied against amounts due in previous biennia.

27. Considering the continuation of the relatively positive trend of receipts to the CAB for the current biennium, the possibility of receiving additional payments against arrears as well as the prudent expenditure effected within the CAB to date, it is considered entirely feasible that the repayment of the borrowing to the Special Funds will be effected, if possible in its entirety, otherwise at least in part, before the end of the current biennium. Naturally, it will only be possible to confirm this once the full expenditure incurred in connection with the current session of the Governing Body is known and accounted for.

### E. Projected Expenditure and Income

28. The status of contributions to the CAB is covered in the preceding section C and the details of receipts are available in Appendix 3.

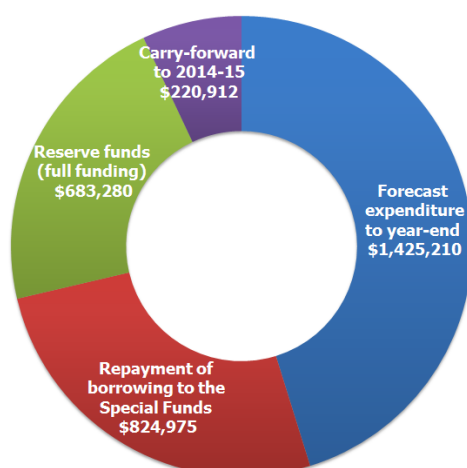
29. The following table gives a forecast of forecast expenditure (or expenditure already committed) and additional CAB income projected through to the end of the biennium with the expected carry forward to the next biennium.

30. As is visible from the financial statement, the available balance in the CAB at 30 June 2013 was quite considerable, over USD 2.8 million. However, it must be borne in mind that the largest biennial expenditure, the cost of the 5<sup>th</sup> Session of the Governing Body, has still to be absorbed and that other items including the reserve funds (which are physically maintained within the balance of the CAB trust fund – MTF/INT/017/MUL) as well as commitments to year end, have still to be accounted for.

Description:	All amounts in US dollars	
<b>Balance of the CAB at 30 June 2013</b> (as per financial statement)		<b>-2,804,377</b>
<b>Less:</b> amounts held for the reserve accounts		
Working Capital Reserve	198,784	
Third Party Beneficiary Operational Reserve	272,224	
<b>Adjusted available balance</b>		<b>-2,333,369</b>
<b>Less:</b> Projected expenditure to 31/12/2013		
• Human Resource costs (including 8% ICRU)	351,210	
• Cost of 5th Session of the GB (including 6% project servicing costs)	954,000	
• Routine monthly Treaty expenditure	120,000	
<b>Sub total</b>		<b>-908,159</b>
<b>Plus:</b> Additional revenue forecast to 31/12/2013	<b>-350,000</b>	
<b>Sub total</b>		<b>-1,258,159</b>
<b>Less:</b> other		
Repayment of borrowing to the Special Funds for agreed purposes	824,975	
Set aside of amounts to constitute full balances of WCR & TPB-OR	212,272	
<b>Projected balance for carry-forward to the 2014-15 biennium</b>		<b>-220,912</b>

Figure 2 shows the utilization of the Core Administrative Budget resources, graphically, at the end of December 2013

Figure 2



31. The status of expenditure is given in Appendix 5a (whereas the consolidated financial statement at 30 June is given in Appendix 5b). Further financial information is also provided, which gives a detailed break-down of expenditure compared to the originally adopted Core Administrative Budget, by allotment.

#### ***F. The Working Capital Reserve***

32. Financial Rule 6.4 provides that “*Within the General Fund there shall be maintained a working capital reserve at a level to be determined from time to time by the Governing Body by consensus. The purpose of the working capital reserve shall be to ensure continuity of operations in the event of a temporary shortfall of cash. Drawdowns from the working capital reserve shall be restored from contributions as soon as possible*”

33. Resolution 1/2009, adopted by the Third Session of the Governing Body, established the level of the Working Capital Reserve (WCR) at USD350,000 to be funded during the 2010-2011 biennium.

34. The level of the WCR for the 2012-13 biennium was increased from \$350,000 to \$400,000 in accordance with Resolution 9/2011 of the 4<sup>th</sup> Session of the Governing Body. The amounts that Contracting Parties have been requested to contribute to the Reserve (in accordance with the indicative scale of contributions) for the current biennium take into consideration amounts already paid in 2010-11. Accordingly, those Contracting Parties that had already contributed to the Reserve have been requested to contribute only the difference due.

35. At the end of the 2010-11 biennium, amounts totalling USD 145,482 had been received against the WCR from 44 Contracting Parties and the contributions necessary to bring the level of the reserve to its established level were called at the beginning of 2012.

36. During the current biennium, amounts totalling USD 53,302 have been received from 36 Contracting Parties. At 30 June 2013, USD 198,784 or 49.7% of the amounts due to the Working Capital Reserve had been received, leaving a balance of USD 201,216 due from 99 Contracting Parties.

#### ***G. The Third Party Beneficiary Operational Reserve***

37. The Governing Body, by Resolution 5/2009 of its Third Session, requested that the Secretary of the International Treaty establish the Third Party Beneficiary Operational Reserve (TPB-OR) and called for it to be funded by voluntary contributions in an amount commensurate to its needs. In this connection, the Ad hoc Third Party Beneficiary Committee at its Second Meeting in March 2009 proposed to the Governing Body an initial level of the Operational Reserve at USD283,280<sup>3</sup>

38. Financial Rule 6.5, adopted pursuant to the establishment of the Operational Reserve as a condition of Resolution 5/2009, states that contributions should be “credited as priority” to this Reserve. At the end of the 2010-11 biennium, amounts totalling USD 243,265 had been received against the TPB-OR from 50 Contracting Parties leaving a balance of USD 40,015 necessary to bring the level of the reserve to its established level.

39. Contracting Parties who had not already done so were requested to fulfil the commitment undertaken at the Governing Body through a letter calling for funds in early 2012. In response to this, as at the end of June 2013, contributions were received from 8 additional Contracting Parties for a total of USD 11,059.

40. At the end of June 2013, USD 272,224 or 96% of the amounts due to the TPB-OR, had been received from 58 Contracting Parties leaving a balance of USD 28,956 still payable.

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<sup>3</sup> [http://www.planttreaty.org/meetings/tpbc2\\_en.htm](http://www.planttreaty.org/meetings/tpbc2_en.htm) doc. IT/TPBC-1/08/2 refers

### III. DONOR-SUPPORTED SPECIAL FUNDS

#### *A. Special Fund for Agreed Purposes*

41. The balance of this multi-donor Fund carried-forward from the 2010-11 biennium was USD 459,365 which representing the balance of moneys contributed previously and not yet utilised. During the 2012-13 biennium, further contributions have been received from the Governments of Australia, Italy, Spain and the United Kingdom, as set out in Appendix 6

42. The financial statement for the Special Fund at 30 June 2013 is given in Appendix 7 a). Also included are the statements for the funds administered as a separate accounts (baby projects) within the Special Fund for Agreed Purposes.

43. In adopting Resolution 9/2011, the Fourth Session of the Governing Body also adopted the Addendum1B to Annex 1 – “*Possible Donor-Funded Supporting Projects for which funding will be sought*” and invited Contracting Parties to provide the funding necessary for the implementation of these projects. This Addendum covered activities which Contracting Parties were invited to fund on an extra-budgetary basis, in addition to the Core Administrative Budget of the Treaty. These activities can be considered to form an integral part of the approved Work Programme, naturally subject to adequate funding being available.

#### *B. Fund to Support the Participation of Developing Countries*

44. The initial balance carried forward at the beginning of the 2012-13 biennium was USD 795,380. Income to the Fund in the current biennium to date has been a single contribution of USD 50,000 from the Government of Spain.

45. Summary financial data on this fund is provided in Appendix 7 b). With a balance at the end of June 2013 of USD 647,948, the Fund can be expected to meet the needs for the current biennium comfortably.

46. However, as reported in the Draft Work Programme and Budget for the 2014-15 Biennium, the Fund is nearing depletion, which has been totally dependent on the two major donors. In view of the financial burden resulting from convening the Fifth Session of the Governing Body, Contracting Parties are invited to make donations to the Fund in order to prevent a shortage in the next biennium, with the obvious negative repercussions to be imposed to the participation of developing country delegations to the Sixth Session of the Governing Body.<sup>4</sup>

### IV. THE BENEFIT-SHARING FUND

47. Documents IT/GB-5/13/7 - *Report on the Implementation of the Funding Strategy (including add.1 and add.2)* and IT/GB-5/13/8 - *Report on the Execution of the Project Cycle of the Benefit-sharing Fund since the Fourth Session of the Governing Body*, deal with the activities of the Benefit Sharing Fund in detail.

48. Details of those Contracting Parties having made contributions to the Fund during the period from 1 January 2011 to 30 June 2013 are provided in Appendix 6 whereas the summary financial position of the Benefit-sharing Fund at 30 November 2010 is given in Appendix 7 c).

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<sup>4</sup> IT/GB-5/13/25, *Draft Work Programme and Budget for the 2014-15 Biennium*, paragraph 36

## V. CONCLUSIONS

49. It should be recalled that, during the 2008-2009 biennium, the Treaty was obliged to work within severe financial constraints, due to serious under-funding of the Core Administrative Budget. At the end of that biennium, the shortfall was equal to some 50% of what had been budgeted for. Only through recourse to borrowing from the Special Funds for Agreed Purposes, with the agreement of the two major donors involved, was the Treaty able to continue operating during that period.
50. The situation improved substantially in the 2010-2011 biennium, with a major increase in the level of contributions to the Core Administrative Budget, particularly the substantial contributions made by most of the larger contributors, which made it possible to carry out the activities envisaged under the Core Work Programme. At the end of 2011, around 80% of contributions envisaged under the approved budget had been received. During the same period, however, the resources available under the Special Funds were largely depleted, since an important part of the Core Work Programme adopted by the Third Session of the Governing Body was foreseen to be funded from such extra-budgetary resources.
51. During the Fourth Session of the Governing Body in 2011, the Contracting Parties took two important and far-sighted decisions, which allowed the Treaty to continue the successful operational growth trajectory of its start-up phase, despite the global economic recession, which had an impact on both national budgets and the budgets of international institutions and conventions. First, the Financial Rules of the Treaty were finalized, including the adoption of an Indicative Scale of Contributions, to serve as guidance for the level of a Contracting Party's contribution. In the current biennium, the level of contributions to the Core Administrative Budget appears to have stabilized at the level of the 2010-11 biennium, that is, about 80% of the approved budget is expected to have been received by the end of 2013.
52. Second — and operationally of major importance for the Treaty — the Contracting Parties, despite the global economic downturn, adopted a Core Administrative Budget that included a number of core Treaty functions and activities which, in the previous biennium, had been relegated for extra-budgetary funding. The functions and activities in question, essential for the sustained development of the Treaty, were incorporated in the Core Work Programme as *Core Implementing Functions*, and accordingly funded as part of the Core Administrative Budget. These two efforts by the Contracting Parties have enabled the Treaty to sustain the operations of its core systems, and, at the same time, successfully advance its policy work during the current biennium despite a global economic downturn.
53. The Core Administrative Budget exists to assure the stable core of the Treaty's functions, and it is, therefore, essential that a lack of funding, on a regular and predictable basis, does not impede its basic operations, and the implementation of the Work Programme. Discussions with Contracting Parties during the biennium on their Core Administrative Budget contributions have been invaluable in making it possible to foresee income and plan activities, and such discussions should continue.
54. Notwithstanding these positive notes, some potential financial problems remain that could have a negative impact on the Treaty's Work Programme, and on the operational and policy engagement of Treaty stakeholders and membership. These are briefly set out below, on the basis of an analysis of the financial evolution of the Treaty in past biennia. Potential solutions have accordingly been identified in the draft 2014-15 Work Programme and Budget.
55. There is a very real probability that the Special Funds will be exhausted during the next biennium. This will have a severe operational impact on the overall Work Programme of the Treaty. The exceptional extra-budgetary support that has been provided by Italy and Spain since the inception of the Treaty — where over 80% of all contributions to the Special Funds were by these two single Contracting Parties — cannot be expected to continue, given the enduring international financial crisis. Nevertheless, they deserve the gratitude of all Contracting Parties for their unique efforts and, in addition, thanks are due to the governments of Italy and Norway for, in addition to direct extra-budgetary support to Treaty activities, funding staff positions in addition to the normal staffing structure.

56. It should be recalled that the availability of resources under the Special Funds has functioned in the past as a buffer to assure the continuity of the functioning of the Treaty, in the context of as shortfall against the Core Administrative Budget. The depletion of these Special Funds, together with the lack of renewed funding, risks putting the Treaty and, accordingly the implementation of the overall Work Programme, in an extremely difficult and precarious position.

57. As mentioned in section *D*, the Secretary's rigorous and prudent approach to the administration of the Core Administrative Budget during the last two biennia has resulted in significant savings, and there is the real prospect that it will be possible to repay the borrowing to the Special Funds by the end of the current biennium.

58. It must be stressed, however, that the repayment of these moneys to the Special Funds makes them available exclusively for the implementation of the activities originally agreed with the donors. It would therefore be mistaken to consider them as newly available, as yet unattributed resources. As extra-budgetary project funds, they are not at the disposal of the Governing Body. The refund of these borrowed moneys does not resolve or address the current funding problem currently being faced. Even with this repayment, the Special Funds risks total depletion by the end of 2014, and the important range of activities that they have supported will come to a halt. Accordingly, Contracting Parties are urged to make every effort to provide voluntary contributions to the Special Fund for Agreed Purposes, as well as to the Special Fund for the Participation of Developing Countries, in the near future.

59. As noted in the Draft Work Programme and Budget, the Core Administrative Budget may be strengthened and may absorb more activities that by their nature are, in fact, Core Implementing Functions, without increasing the financial burden on each single Contracting Party. This should become a reality due to the increase in Treaty membership. Despite this, the importance of securing sufficient new funding for the Special Funds must not be underestimated, in making possible the implementation of the Work Programme.

60. Despite the generally improved financial position of the Core Administrative Budget, it must be noted that the number of Contracting Parties actually making any contribution to the Core Administrative Budget continues to be very limited: only 45 of 127. An improvement in this regard is essential, to underline the multilateral nature of the Treaty. In this context, it is encouraging to note that, in 2012, the European Union contributed to the Core Administrative Budget for the first time. All Contracting Parties are strongly urged to make their contributions in a timely manner, and at an adequate level.

61. The nature of voluntary contributions carries with it a degree of uncertainty regarding the level of budget that can actually be collected. As is noted in paragraph 21 in section *C*, the use of the Indicative Scale of Contributions for voluntary contributions of Contracting Parties makes it difficult to collect the shortfall in the total adopted Core Administrative Budget created by those cases where the contribution received from one of the larger contributors differs significantly from the amount expected. This makes it important to seek the guidance of the Governing Body on how to address the systemic tendency towards the potential conservation of shortfalls from the approved Core Administrative Budget which arises from the use of a voluntary Indicative Scale of contributions.

62. To improve the provision of funds for the implementation of the Treaty's overall activities, budgetary and extra-budgetary, action on the various elements of its financial architecture is required. The need to expand the donor base — in a context where the Treaty's donor base is too thin and currently narrowing as a result of the impact of the international financial crisis on its two most generous donors — can, for example, be tackled through improved donor liaison, which is foreseen in the draft Work Programme and Budget for the 2014-15 Biennium. The strategic plans for resource mobilisation that have been developed for specific parts of the Treaty could also, through strategic review and revision, be extended to include the Special Funds, through focused and targeted fund-raising activities, thereby preventing their otherwise foreseeable depletion.

63. The Secretary continues a rigorous, prudent and systematic approach to the administration of the Treaty, aimed not only at following up on contributions that have still to be received, but also at ensuring that Contracting Parties are kept continually abreast of the financial situation of the Treaty, and the impacts for the implementation of the overall adopted Work Programme. The Secretary takes this opportunity to thank the Contracting Parties who, despite a difficult global financial scenario, have consistently demonstrated their financial commitment, in recognition of the need for sustained support and growth of the Treaty during its start-up phase and in the changing legal and policy environment of the Treaty.

*Appendix I***SOURCE AND USE OF MONEYS, AND TRUST FUND STRUCTURE**

<b>REFERENCE IN RULE V</b>	<b>CORE ADMINISTRATIVE BUDGET</b>	<b>TRUST FUND STRUCTURE RULE VI</b>
<b>Rule V.1a</b>	The amount provided for the Treaty's Core Administrative Budget in the FAO Regular Programme of Work and budget	
<b>Rule V.1b</b>	Voluntary contributions by Contracting Parties for the purposes of administration and implementation of the Treaty in general	<b>GENERAL FUND</b> <i>Income in the biennium</i> <b>Rule VI.2a</b> <i>includes the</i> <i>Working Capital Reserve</i> <b>Rule VI.4</b> <i>and the</i> <i>Third Party Beneficiary</i> <i>Operational Reserve</i> <b>Rule VI.5</b>
<b>Rule V.1c</b>	Voluntary contributions by states that are not Contracting Parties, from IGOs, or NGOs or other entities, for the administration and implementation of the Treaty in general	
<b>Rule V.1h</b>	The uncommitted balance of voluntary contributions carried forward	
<b>Rule V.1i</b>	Miscellaneous income, including interest derived from investment of the funds in the General Trust Fund	

**SPECIAL FUNDS**

<b>Rule V.1d</b>	Other voluntary payments by Contracting Parties, for purposes agreed between the contributor and the Secretary	<b>MULTIDONOR FUND</b> <i>where agreed with donor</i> ----- <b>SEPARATE FUNDS</b> <i>where required by donor</i> <b>Rule VI.2b</b>
<b>Rule V.1e</b>	Other voluntary payments by Contracting Parties, by IGOs, or NGOs or other entities for purposes agreed between the contributor and the Secretary	
<b>Rule V.1f</b>	Voluntary payments by Contracting Parties to support the participation of developing countries	<b>FUND TO SUPPORT THE PARTICIPATION OF DEVELOPING COUNTRIES</b> <b>Rule VI.2c</b>
<b>Rule V.1g</b>	Voluntary payments by contributions by states that are not Contracting Parties, from IGOs, or NGOs or other entities, to support the participation of developing countries	

**BENEFIT-SHARING IN ACCORDANCE WITH ARTICLE 13.2 OF THE TREATY**

<b>Rule V.1j</b>	Mandatory and voluntary contributions pursuant to Article 13.2d	<b>BENEFIT-SHARING FUND</b> <b>Rule VI.3</b>
<b>Rule V.1k</b>	Contributions from international mechanisms, funds and bodies	

## Appendix 2

## CORE WORK PROGRAMME: 2012 - 2013 BIENNIUM

	Maintenance Function	Core Implementing Functions	Core Work Programme
<b>All amounts in USD</b>			
<b>A. Human resources</b>			
A.1 Established staff positions	3,391,272	-	3,391,272
A.2 Additional posts approved for 2012-13 biennium	557,733		557,733
A.3 New posts & upgrades proposed for approval	166,608	79,200	245,808
A.4 Consultancy costs	130,000	225,960	355,960
<b>Total A. Human Resources</b>	<b>4,245,613</b>	<b>305,160</b>	<b>4,550,773</b>
<b>B. Meetings</b>			
B.1 Governing Body	500,000	-	500,000
B.2 Bureau	30,000	-	30,000
B.3 Compliance Committee		-	-
B.4 Panel of Scientific Experts	40,000		40,000
B.5 Activity related 1/		67,500	67,500
<b>Total B. Meetings</b>	<b>570,000</b>	<b>67,500</b>	<b>637,500</b>
<b>C. Other costs</b>			
C.1 Core staff duty travel	140,750	36,000	176,750
C.2 Publications and communication	66,750	34,940	101,690
C.3 Supplies and equipment	48,000	-	48,000
C.4 Miscellaneous	22,500	-	22,500
C.5 Contracts	-	219,920	219,920
<b>Total C. Other Costs</b>	<b>278,000</b>	<b>290,860</b>	<b>568,860</b>
<b>Total A + B + C</b>	<b>5,093,613</b>	<b>663,520</b>	<b>5,757,133</b>
Cost increase factor 4.25%	214,779	3,366	218,145
D. General Operating Services	212,336	26,675	239,011
<b>Operating Budget</b>	<b>5,520,727</b>	<b>693,561</b>	<b>6,214,288</b>
<b>E. Project Servicing Cost</b>	<b>212,012</b>	<b>41,614</b>	<b>253,625</b>
<b>Totals</b>	<b>5,732,738</b>	<b>735,175</b>	<b>6,467,913</b>

<b>Funding of the Proposed Core Work Programme</b>	
<b>Total Core Administrative Budget</b>	<b>6,467,913</b>
<b>Less:</b>	
<b>F. FAO contribution</b>	<b>[1,987,200]</b>
<b>Net amount to be funded by Contracting Parties</b>	<b>4,480,713</b>

1/ Funding for activity-related meeting costs (USD 163,000) to be sought directly from donors.

## Appendix 3

**Contributions in USD to the Core Administrative Budget 2012-2013 - at 30 June 2013**

(including amounts credited to the Working Capital Reserve and the Third Party Beneficiary Operational Reserve)

Contributions received during the full 2010-11 biennium are shown for comparison

<b>Contracting Party</b>	<b>2010-2011</b>	<b>2012-2013</b>
Algeria	6,905.00	10,752.25
Angola	255.00	1,093.14
Australia	144,572.00	249,140.00
Austria	64,377.92	31,127.64
Belgium	83,227.00	-
Brazil	-	33,875.50
Canada	229,638.00	277,888.01
Cyprus	3,533.00	3,759.99
Czech Republic	12,328.00	15,398.65
Democratic People's Republic of Korea	553.00	-
Denmark	59,782.00	60,044.29
Ecuador	1,703.00	3,374.11
Egypt	6,676.00	8,234.02
Estonia	1,277.00	3,409.11
Ethiopia	255.00	-
European Union	-	66,313.00
Fiji	245.00	-
Finland	44,422.00	47,367.69
France	200,000.00	200,000.00
Germany	681,800.00	489,575.00
Greece	94,340.00	56,945.30
Guatemala	2,424.00	2,399.66
Hungary	19,710.00	23,958.94
India	33,976.00	46,576.69
Indonesia	13,027.00	19,916.07
Ireland	33,579.00	43,289.00
Italy	356,662.19	389,303.48
Jamaica	809.00	-
Kenya	787.00	-
Lebanon	2,725.00	2,655.62
Lithuania	2,512.00	5,503.43
Madagascar	-	271.76
Mauritania	-	50.72
Namibia	437.00	676.08
Netherlands	214,343.00	151,135.00
Norway	63,261.00	71,572.62
Oman	5,875.00	7,130.91
Pakistan	8,812.60	-
Panama	3,662.00	3,662.00
Philippines	-	8,420.79
Republic of Korea	23,000.00	9,500.00
Romania	5,619.00	-
Rwanda	-	51.64
Samoa	43.00	-
Saudi Arabia	26,498.00	13,249.00
Seychelles	170.00	-
Sierra Leone	-	51.46
Slovenia	7,272.00	-
Spain	260,000.00	260,000.00

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Sweden	-	153,233.97
Switzerland	91,808.00	98,100.00
Togo	117.00	-
Trinidad & Tobago	2,171.00	-
Turkey	61,642.00	51,885.95
Uganda	255.00	515.88
United Kingdom	444,809.44	460,560.00
Uruguay	-	2,171.00
Yemen	1,067.00	-
Zambia	40.00	733.36
Total	<b>3,323,002.15</b>	<b>3,384,872.73</b>

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## Appendix 4

## Contributions to the Core Administrative Budget 2012-2013 by Region - at 30 June 2013

Region	Contracting Parties that have made contributions	Contracting Parties that have made no contribution		
Africa	Algeria 1/ Angola 1/ Madagascar Mauritania Namibia 1/ Rwanda Sierra Leone Uganda 1/ Zambia 1/	Benin Burkina Faso Burundi Cameroon Central African Republic Chad Congo, Republic of Côte d'Ivoire Democratic Republic of the Congo Djibouti Eritrea	Ethiopia 1/ Gabon Ghana 1/ Guinea 1/ Guinea-Bissau Kenya 1/ Lesotho 1/ Liberia Malawi Mali Mauritius	Morocco Niger 1/ Sao Tome and Principe Senegal Seychelles 1/ Sudan Togo 1/ Tunisia United Republic of Tanzania 1/ Zimbabwe
Asia	India 1/ Indonesia 1/ Philippines Republic of Korea 1/	Bangladesh 1/ Bhutan Cambodia Democratic People's Republic of Korea	Lao People's Democratic Republic 1/ Malaysia Maldives Myanmar	Nepal Pakistan 1/
Europe	Austria 1/ Cyprus 1/ Czech Republic 1/ Denmark 1/ Estonia 1/ European Union Finland 1/ France 1/ Germany 1/ Greece 1/ Hungary 1/ Ireland 1/ Italy 1/ Lithuania 1/ Netherlands 1/ Norway 1/ Spain 1/ Sweden Switzerland 1/ Turkey 1/ United Kingdom 1/	Albania Armenia Belgium 1/ Bulgaria Croatia Iceland Latvia Luxembourg 1/ Montenegro Poland Portugal Romania 1/ Slovakia Slovenia 1/		
Latin America and the Caribbean	Brazil Ecuador 1/ Guatemala 1/ Panama 1/ Uruguay	Costa Rica Cuba El Salvador Honduras Jamaica 1/	Nicaragua Paraguay Peru Saint Lucia Trinidad and Tobago 1/	Venezuela
Near East	Egypt 1/ Lebanon 1/ Oman 1/ Saudi Arabia 1/	Afghanistan 1/ Iran, Islamic Republic of Jordan Kuwait	Kyrgyz Republic Libyan Arab Jamahiriya Qatar Syrian Arab Republic	United Arab Emirates Yemen 1/
North America	Canada 1/			
South West Pacific	Australia 1/	Cook Islands Fiji 1/	Kiribati Palau	Samoa 1/
1/ Contracting Party having made a contribution to the 2010-11 CAB				

## Appendix 5a

**Analysis of expenditure against the Core Administrative Budget during 2012-13 biennium  
Period from 1 January 2012 to 30 June 2013  
(Correlation between Consolidated Financial Statement and approved budget)**

Category (as per approved Core Administrative Budget - Annex 1 to Resolution 9/2011)	Expenditure Category (Account)	Total for biennium	
		Budget	Actual*
		USD	
<b>A. Staff and consultancy costs</b>		<b>4,734,578</b>	
	5011 Salaries Professional	3,572,102	1,981,166 1/
	5012 Salaries General Service	800,990	599,784 1/
	5013 Consultants	361,485	378,917 1/
<b>Total A.</b>		<b>4,734,577</b>	<b>2,959,867</b>
<b>B. Meetings</b>	2/		
Fifth Session of the GB		521,250	-
Bureau		31,275	3/
Panel of Scientific Experts		40,000	3/
Activity related		67,500	3/
<b>Total B.</b>		<b>660,025</b>	<b>-</b>
<b>C. Other costs</b>			
Core staff duty travel		182,732	
	5021 Travel		60,535
Publications & communication		104,527	
	5014 Contracts		29,322 4/
Supplies and equipment		50,040	-
	5024 Expendable Procurement		8,385
	5025 Non Expendable Procurement		7,555
Miscellaneous		23,456	-
	5026 Hospitality		5,318
	5020 Locally Contracted Labour & Overtime		806
Contracts		219,920	26,019
	5014 Contracts (includes <i>International Computing Centre - hosting of SMTA</i> )		
<b>Total C.</b>		<b>580,675</b>	<b>137,940</b>
<b>D. General Operating Services</b>		<b>239,011</b>	
	5028 General Operating Expenses		50,794 5/
	5040 General Operating Expenses - external common services		46,145
	5050 General Operating Expenses - internal common services		58,911
<b>Total D.</b>		<b>239,011</b>	<b>155,850</b>
<b>F. Project Servicing Cost</b>		<b>253,625</b>	
	5029 Support Costs		59,990
<b>G. Core Administrative Budget</b>		<b>6,467,913</b>	<b>3,313,647</b>

\* including commitments to 31 December 2013

**Notes:**

1/ Human resource costs include USD 46,956 in connection with Improved Cost Recovery Uplift (ICRU) which has been levied since January 2012 on staff and non-staff human resources funded by *trust funds* (i.e. the voluntary contributions element of the Core Administrative Budget)

2/ The budget lines adopted in the Core Administrative Budget do not correlate exactly with the expenditure categories used in the official accounts of FAO "Meetings", for example, covers numerous and highly differing costs such as: *Consultancy* costs, *travel* expenses, *procurement* of supplies, *hospitality* and other *general operating expenses* (such as interpretation, translation and printing)

3/ Meeting costs pertaining to Sessions of the Bureau etc are reported as being equal to the original budgeted amounts and the relative expenditure is included in the aggregate figures.

4/ Publication costs are included in under accounts 5014 (Contracts) and 5050 (General Operating Expenses) for document printing/production

5/ Currently includes USD 25,500 in connection with ICC hosting cost (total ICC cost = USD51,006)



**c) Core Administrative Budget – Status of Implementing Functions <sup>5</sup>**

**approved as part of the Core Work Programme**

Activity (adopted by Resolution 9/2011 as part of the Core Work Programme - see document IT/GB- 4/11/27 Rev. 1, Annex 3)	Treaty Articles	Amount budgeted (thousands of USD)	Status				
			Completed	In Process	Planned	Cancelled	Other
Cooperation with CBD and other international organizations	All	111		√			
Maintenance of the MLS	10-13	313		√			
Ad Hoc Committee on MLS and SMTA	10-13	58	√				
Mobilizing resources for the BSF	18.4	133		√			
Operations of the BSF	18.4	98		√			
Ad Hoc Committee on the Funding Strategy	18,13	9			√		
Ad Hoc Committee on Conservation and Sustainable Use	5,6	13	√				
TOTAL		735					

<sup>5</sup> Resolution 9/2011 "Work Programme and Budget 2012-13" refers

## Appendix 6

## Contributions to Donor Supported Trust Funds – aggregated amounts

1 January 2011<sup>6</sup> – 30 June 2013

Trust Fund	Donor	Amount USD
<b>Special Funds for agreed purposes (Project MTF/INT/019/MUL)</b>		
	<i>Australia</i> <sup>7</sup>	159,090
	<i>Italy</i>	451,841
	<i>Italy</i> <sup>8</sup>	589,445
	<i>Spain</i>	1,145,681
	<i>United Kingdom</i>	33,070
	<b>Total Special Funds for agreed purposes</b>	<b><u>2,379,127</u></b>
<b>Participation of Developing Countries (Project MTF/INT/018/MUL)</b>		
	<i>Spain</i>	50,000
	<b>Total Participation of Developing Countries</b>	<b><u>50,000</u></b>
<b>Benefit-sharing Fund (Project MTF/INT/031/MUL)</b>		
	<i>Canada</i>	21
	<i>European Union</i> *	125,119
	<i>Germany</i> *	87,435
	<i>IFAD</i> *	810,525
	<i>Italy</i>	1,611,827
	<i>Norway</i>	357,459
	<b>Total Benefit Sharing Fund</b>	<b><u>2,992,386</u></b>

\*Amounts do not reflect the full funding agreement - only actual receipts are listed.

<sup>6</sup> Amounts are reported from 1 January 2011 (considering the timing of the 4<sup>th</sup> Session of the Governing Body) in order to provide a more complete representation to the Governing Body

<sup>7</sup> Contribution to "Baby account 3" - Project MTF/INT/019/MUL - Australia

<sup>8</sup> Contribution to "Baby account 4" - Project MTF/INT/019/MUL - Italy



## Appendix 7

## Financial position of the Treaty – Donor Supported Trust Funds

a) Special Funds for Agreed Purposes (situation at 30 June 2013 including commitments to 31 December 2013)

		TF Project Status Report				Special Funds for agreed purposes		Period from 2012-01 to 2013-06	
		AG Agriculture and Consumer Protection Department TFAA970006412 158216 MTF/INT/019/MUL International Treaty on Plant Genetic Resources for Food and Agriculture (IT-PGRFA) - Special Fund (Activity)							
		Prior years to: 31/12/2011		Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06		
<b>Funds Received</b>									
Voluntary Contributions Received		<4,923,623>		<1,719,948>	<6,643,571>	0	<6,643,571>		
Interest accrued on voluntary contributions		<7,048>		<2,183>	<9,231>	<1,494>	<10,725>		
<b>Total Revenue</b>		<4,930,671>		<1,722,131>	<6,652,802>	<1,494>	<6,654,296>		
<b>Expenditure</b>									
5011 Salaries Professional		542,640		0	542,640	87,960	630,600		
5012 Salaries General Service		25,676		78,185	103,861	56,050	159,911		
5013 Consultants		1,577,413		397,642	1,975,055	392,617	2,367,672		
5014 Contracts		471,012		57,631	528,643	19,912	548,555		
5020 Locally Contracted Labour		8,455		38	8,493	0	8,493		
5021 Travel		907,519		249,989	1,157,508	176,001	1,333,509		
5023 Training		8,703		7,145	15,848	1,617	17,465		
5024 Expendable Procurement		2,975		0	2,975	8,962	11,937		
5025 Non Expendable Procurement		0		0	0	0	0		
5026 Hospitality		6,795		2,069	8,864	785	9,649		
5028 General Operating Expenses		121,445		14,229	135,674	2,820	138,494		
5029 Support Costs		253,093		52,396	305,489	20,922	326,411		
5040 General Operating Expenses - external common services		7,646		4,202	11,848	24	11,872		
5050 General Operating Expenses - internal common services		537,934		62,144	600,078	4,535	604,613		
<b>Total Expenditure</b>		<b>4,471,306</b>		<b>925,670</b>	<b>5,396,976</b>	<b>772,205</b>	<b>6,169,181</b>		
<b>Balance</b>		<b>&lt;459,365&gt;</b>		<b>&lt;796,461&gt;</b>	<b>&lt;1,255,826&gt;</b>	<b>770,711</b>	<b>&lt;485,115&gt;</b>		

## b) Participation of Developing Countries (situation at 30 June 2013 including commitments to 31 December 2013)

 <b>The International Treaty</b> <small>ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE</small>		<b>TF Project Status Report</b> <b>Special Funds to support the participation of developing country Contracting Parties</b> <b>Period from 2012-01 to 2013-06</b>		 <b>AG Agriculture and Consumer Protection Department</b> <b>TFAA970006413 158224 MTF/INT/018/MUL International Treaty on Plant Genetic Resources for Food and Agriculture (IT-PGRFA) - Developing Countries Participation (Activity)</b>	
	Prior years to: 31/12/2011	Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06
<b>Funds Received</b>					
Voluntary Contributions Received	<1,777,422>	<50,000>	<1,827,422>		<1,827,422>
Interest accrued on voluntary contributions	<2,747>	<855>	<3,602>		<3,602>
<b>Total Revenue</b>	<1,780,169>	<50,855>	<1,831,024>	0	<1,831,024>
<b>Expenditure</b>					
5011 Salaries Professional	0	0	0	0	0
5012 Salaries General Service	0	0	0	0	0
5013 Consultants	0	0	0	0	0
5014 Contracts	0	0	0	0	0
5020 Locally Contracted Labour	0	0	0	0	0
5021 Travel	984,684	146,623	1,131,307	51,653	1,182,960
5023 Training	0	0	0	0	0
5024 Expendable Procurement	0	0	0	0	0
5025 Non Expendable Procurement	0	0	0	0	0
5026 Hospitality	0	0	0	0	0
5028 General Operating Expenses	105	0	105	11	116
5029 Support Costs	0	0	0	0	0
5040 General Operating Expenses - external common services	0	0	0	0	0
5050 General Operating Expenses - internal common services	0	0	0	0	0
<b>Total Expenditure</b>	984,789	146,623	1,131,412	51,664	1,183,076
<b>Balance</b>	<795,380>	95,768	<699,612>	51,664	<647,948>

## c) Benefit Sharing Fund - (situation at 30 June 2013 including commitments to 31 December 2013)



## TF Project Status Report

## Benefit-sharing Fund - Consolidated Statement

Period from 2012-01 to 2013-06



AG Agriculture and Consumer Protection Department

## FFAA990008594 601923 GINC/INT/031/MUL International Treaty on Plant Genetic Resources for Food and Agriculture (IT-PGRFA) - Benefit Sharing Fund

	Prior years to: 31/12/2011	Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06
<b>Funds Received</b>					
Voluntary Contributions Received	<5,353,152>	<1,157,974>	<6,511,126>	<122,115>	<6,633,241>
Revenue transferred to disbursement accounts	0	4,586,987	4,586,987	321,000	4,907,987
Interest accrued on voluntary contributions	<10,183>	<2,393>	<12,576>	0	<12,576>
<b>Total Revenue</b>	<b>&lt;5,363,335&gt;</b>	<b>3,426,620</b>	<b>&lt;1,936,715&gt;</b>	<b>198,885</b>	<b>&lt;1,737,830&gt;</b>

## FFAA110009650 609036 GCP/GLO/281/MUL IT-PGRFA Trust Fund account for the disbursement of funds for approved projects under the Benefit Sharing Fund

	Prior years to: 31/12/2011	Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06
<b>Funds Received</b>					
Voluntary Contributions Received	<581,459>	<87,435>	<668,894>	0	<668,894>
Interest accrued on voluntary contributions	<643>	<4,022>	<4,665>	0	<4,665>
<b>Total Revenue</b>	<b>&lt;582,102&gt;</b>	<b>&lt;91,457&gt;</b>	<b>&lt;673,559&gt;</b>	<b>0</b>	<b>&lt;673,559&gt;</b>
<b>Expenditure</b>					
5014 Contracts	519,412	15,259	534,671		534,671
5024 Expendable Procurement		66	66		66
5025 Non Expendable Procurement		930	930		930
5028 General Operating Expenses	429	5	434	5	439
5029 Support Costs	36,389	1,135	37,524	<1,063>	36,461
<b>Total Expenditure</b>	<b>556,230</b>	<b>17,395</b>	<b>573,625</b>	<b>&lt;1,058&gt;</b>	<b>572,567</b>
<b>Balance</b>	<b>&lt;25,872&gt;</b>	<b>&lt;74,062&gt;</b>	<b>&lt;99,934&gt;</b>	<b>&lt;1,058&gt;</b>	<b>&lt;100,992&gt;</b>

## FFAA110109650 609036 GCP/GLO/281/MUL IFAD 'Leading the Field' IT-PGRFA Trust Fund account for the disbursement of funds for approved projects under the Benefit Sharing Fund

	Prior years to: 31/12/2011	Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06
<b>Funds Received</b>					
Voluntary Contributions Received		<810,525>	<810,525>	0	<810,525>
Revenue transferred to disbursement accounts			0	0	0
<b>Expenditure</b>					
5014 Contracts		775,820	775,820	585,000	1,360,820
5029 Support Costs		54,307	54,307	<12,328>	41,979
<b>Total Expenditure</b>	<b>0</b>	<b>830,127</b>	<b>830,127</b>	<b>572,672</b>	<b>1,402,799</b>
<b>Balance</b>	<b>0</b>	<b>19,602</b>	<b>19,602</b>	<b>572,672</b>	<b>592,274</b>

## FFAA110209650 609036 GCP/GLO/281/MUL - 2nd Cycle Benefit-sharing Fund IT-PGRFA Trust Fund account for the disbursement of funds for approved projects under the Benefit Sharing Fund

	Prior years to: 31/12/2011	Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06
<b>Funds Received</b>					
Voluntary Contributions Received		<4,586,987>	<4,586,987>	<321,000>	<4,907,987>
<b>Total Revenue</b>	<b>0</b>	<b>&lt;4,586,987&gt;</b>	<b>&lt;4,586,987&gt;</b>	<b>&lt;321,000&gt;</b>	<b>&lt;4,907,987&gt;</b>
<b>Expenditure</b>					
5014 Contracts		4,693,772	4,693,772	<594,162>	4,099,610
5024 Expendable Procurement		16,592	16,592	0	16,592
5025 Non Expendable Procurement		25,910	25,910	0	25,910
5029 Support Costs		331,539	331,539	<147,996>	183,543
<b>Total Expenditure</b>	<b>0</b>	<b>5,067,813</b>	<b>5,067,813</b>	<b>&lt;742,158&gt;</b>	<b>4,325,655</b>
<b>Balance</b>	<b>0</b>	<b>480,826</b>	<b>480,826</b>	<b>&lt;1,063,158&gt;</b>	<b>&lt;582,332&gt;</b>

## TFEU110012006 615660 GCP/GLO/407/EC Leading the field - The International Treaty on Plant Genetic Resources for Food and Agriculture

	Prior years to: 31/12/2011	Current Year: 2012 up to 2012-13	Cumulative up to 2012-13	Current Year: 2013 up to 2013-06	Cumulative up to 2013-06
<b>Funds Received</b>					
Voluntary Contributions Received	<125,119>		<125,119>	0	<125,119>
Interest accrued on voluntary contributions	<1>	<142>	<143>	0	<143>
<b>Total Revenue</b>	<b>&lt;125,120&gt;</b>	<b>&lt;142&gt;</b>	<b>&lt;125,262&gt;</b>	<b>0</b>	<b>&lt;125,262&gt;</b>
<b>Expenditure</b>					
<b>Total Expenditure</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Balance</b>	<b>&lt;125,120&gt;</b>	<b>&lt;142&gt;</b>	<b>&lt;125,262&gt;</b>	<b>0</b>	<b>&lt;125,262&gt;</b>

## Appendix 8

**Status of activities approved for funding under the Special Funds for Agreed Purposes  
in the 2012-13 biennium**

Addendum 1A<sup>9</sup>

Activity	Activity covered	Amount (thousands of USD)	Status		
			Completed	In Process	Not Undertaken due to lack of funding
Resource Mobilization Officer (P5, 12 m/m)		268			✓
Meeting costs of activities of the Core implementing Functions		298			✓
Compliance Committee		33	✓		
Operating the Capacity-building Coordination Mechanism		33			✓
TOTAL		632			

Addendum 1B<sup>10</sup>

Activity	Activity covered	Amount budgeted (thousands of USD)	Status		
			Completed	In Process	Not Undertaken due to lack of funding
Benefit-sharing Support Programme of the Treaty		2,500		✓	
Harmonious Implementation of the Treaty and the Nagoya Protocol		500		✓	
Outreach, Awareness-Raising and Promotion of the Treaty		960		✓	
The Joint Implementation Programme for Capacitybuilding, Phase II		1,200-1,500 per biennium			✓
Training Programme on the International Treaty		920		✓	
Article 17 and the Global Information System on Plant Genetic Resources for Food and Agriculture		730		✓	
Conservation and Sustainable Use of Plant Genetic Resources for Food and Agriculture		500		✓	
TOTAL		7,310-7,610			

<sup>9</sup> Addendum 1A to Annex 1 of Resolution 9/2011 refers "Direct Donor Support to Core Implementing Functions to be Funded by Contracting Parties under the Special Fund For Agreed Purposes"

<sup>10</sup> Addendum 1B to Annex 1 of Resolution 9/2011 refers "Possible Donor-Funded Supporting Projects for which Funding will be sought"