



Food and Agriculture  
Organization of the  
United Nations



**The International Treaty**  
ON PLANT GENETIC RESOURCES  
FOR FOOD AND AGRICULTURE

# Implementation of the Strategic Plan for the Implementation of the Benefit-sharing Fund of the Funding Strategy since its adoption

EIGHTH MEETING OF THE *AD-HOC* ADVISORY COMMITTEE ON THE FUNDING STRATEGY

20-21 March 2017, Rome, Italy

Secretariat of the International Treaty on PGRFA,  
Food and Agriculture Organization of the United Nations

Presentation delivered at Special Event on the Enhancement of the Funding Strategy of the International Treaty.  
Saturday 18 March 2017, German Room (C229), FAO Headquarters, Rome, Italy

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# Ad-Hoc Committee on the Funding Strategy



Rome, March 2017

# Request of the Governing Body at its Sixth Session



- The Governing Body requested the Funding Strategy Committee to assess the implementation of the Strategic Plan and update it including the development of a funding target for the Benefit-Sharing Fund for the 2018-2023 period, taking into account a needs-analysis on the basis of such information sources such as **the Second Global Plan of Action for Plant Genetic Resources for Food and Agriculture** as well as **the target for the endowment fund of the Global Crop Diversity Trust** and a scenarios-analysis on the possible impacts of the measures to ensure sustainable and predictable income to the Benefit-sharing Fund for the **enhancement of the Multilateral System** as mandated in Resolution 1/2015.

# Strategic Plan for the Implementation of the BSF

- Resolution 3/2009 then welcomed *The Strategic Plan for the Implementation of the Benefit-sharing Fund of the Funding Strategy*.
- The Governing Body agreed that the **Strategic Plan** constituted a basis for the implementation of the Benefit-sharing Fund, by the Secretariat and the Contracting Parties.
- The Governing Body established the **target of USD 116 million** for the period between July 2009 and December 2014. The target is to be reviewed by the Governing Body on a regular basis, as foreseen in Treaty Article 18.3. This equates to an average annual target of USD 23 million.
- *The Strategic Plan* constituted an agreed basis for the **achievement of the goal of USD 116 million for the Benefit-sharing Fund**, over a five-year period. It sets out a strategy to achieve this goal, through voluntary contributions from Contracting Parties and others.

# Overview of the Strategic Plan

- I. EXECUTIVE SUMMARY
- II. FUNDING TARGETS AND RATIONALE (WHY AND WHAT)
- III. ELEMENTS OF THE PLAN (HOW)
- IV. IMPLEMENTATION OF THE PLAN (HOW)



# Elements of the Strategic Plan

- **Identifying Leadership**
- Developing the Case Statement
- Developing a Prospect Management System
- **Donor Cultivation**
- **Donor Recognition and Acknowledgement**
- Stewardship
- **Communications**
- **Operation of the Benefit-sharing Fund**
- **A Committee on the Funding Strategy**
- **Resource and Staffing implications**



# Strategic Plan summary for members

- Members were asked to **invest annually over a 5 year period** relative to a Tiered Structure based on:
  - ✓ Capacity
  - ✓ Interest in the issue
  - ✓ History of giving to other global initiatives



## Expectations, targets and further steps

- Despite the good will showed by the Governing Body during the 2012-2013 biennium the *Ad Hoc Advisory Committee*: “noted with concern that Contracting Parties were not meeting the targets which they had set for themselves in the Strategic Plan for the implementation of the *Benefit-sharing Fund*”.
- There was a large shortfall of funding that accumulated in relation to the Strategic Plan.
- Also at its fourth meeting, in 2011, the Governing Body of the Treaty adopted Resolution 3/2011, which:  
**“emphasized the need to further explore innovative approaches in engaging voluntary donors to the Benefit-sharing Fund, in particular various private sector prospects such as the seed and the food processing industry”.**

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## Cost estimates for the implementation of the GPA

- Global Plan of Action (GPA) adopted at the FAO International Conference on Plant Genetic Resources in 1996
- Consists of 20 priority areas addressing conservation and sustainable use of PGRFA
- Average annual costs over a ten-year period were estimated
- **Option A** (basic approach)
- **Option B** (moderate approach)
- **Option C** (ideal and comprehensive approach)

# Global Plan of Action Estimates

Priorities of the Benefit-sharing Fund	Priority Activities of the Global Plan of Action	Option A	Option B	Option C
		In million USD		
1. Information exchange, technology transfer and capacity-building	15. Building strong national programmes	5.6	12.9	29.9
	19. Expanding and improving education and training	16.6	30.6	63.3
2. Managing and conserving plant genetic resources on farm	2. Supporting on farm management and improvement of plant genetic resources	7.5	17.2	37.0
3. The sustainable use of plant genetic resources	9. Expanding the characterisation, evaluation and number of core collections to facilitate use	9.4	16.4	31.4
	10. Increased genetic enhancement and base-broadening efforts	21.2	30.7	51.2
	11. Promoting sustainable agriculture through diversification of crop production and broader diversity in crops	5.3	9.0	18.5
	<b>Total</b>	<b>65.6</b>	<b>116.8</b>	<b>231.3</b>

# Planned sources of income for the USD 116 million target in *The Strategic Plan 2009 – 2014 (Fig.1)*

Sector	Treaty Constituencies Rational for Support	Relative Share of the Total Targets (%)
Contracting Parties	<ul style="list-style-type: none"> <li>- Should constitute the base of support for the Treaty and its aims.</li> <li>- Direct over USD 2 billion a year in ODA to agricultural projects. The Benefit-sharing Fund should be the recipient of some portion of these funds.</li> <li>- Their support is essential if the Treaty is to be successful in raising funds from other contributors.</li> </ul>	75 – 85
States which are not yet Contracting Parties	<ul style="list-style-type: none"> <li>- While the primary base of support comes from the Contracting Parties, as the Treaty specifies, nothing prevents states who are not (yet) Contracting Parties from making voluntary contributions to the Fund.</li> </ul>	0 – 1
Private Sector	<ul style="list-style-type: none"> <li>- Food security is an issue of concern around the world.</li> <li>- Prospects for support would include philanthropic private sector companies around the world.</li> </ul>	7 – 11
Foundations /Donor Advised Funds	<ul style="list-style-type: none"> <li>- Foundations are a growing source of funds for international donations.</li> <li>- Foundations have the capacity to make seven- and eight-figure commitments over a multi-year period.</li> </ul>	7 – 11
Individuals	<ul style="list-style-type: none"> <li>- Significant potential to cultivate donors with interest in themes of global food security, Bioversity, sustainability, and international cooperation who can make six- and seven-figure personal commitments.</li> </ul>	1 – 2

## Progress so far ...



## Table 3 of the Strategic Plan

Sector	Treaty Constituencies	Relative Share of the Total Targets (%)	Share actually received	
	Rationale for Support		% of received	% of Target
Contracting Parties	· Should constitute the base of support for the Treaty and its aims.	75 – 85	89.51%	20.13%
	· Direct over USD 2 billion a year in ODA to agricultural projects. The Benefit-sharing Fund should be recipient of some proportion of these funds.			
	· Their support is essential if the Treaty is to be successful in raising funds from other contributors.			
States which are not yet Contracting Parties	· While the primary base of support comes from the Contracting Parties, as the Treaty specifies, nothing prevents states who are not (yet) Contracting Parties from also making voluntary contributions to the Fund.	0 – 1	0%	0%
Private Sector	· Food security is an issue of concern around the world. Prospects for support would include philanthropic private sector companies around the world.	7 – 11	1.50%	0.34%
Foundations / Donor Advised Funds	· Foundations are a growing source of funds for international donations.	7 – 11	0%	0%
	· Foundations have the capacity to make seven -and eight- figure commitments over a multi-year period.			
Individuals	· Significant potential to cultivate donors with interest in themes of global food security, biodiversity, sustainability, and international cooperation who can make six- and seven-figure personal commitments.	1 – 2	0%	0%
International Funds	UNPLANNED		5.75%	1.29%
Innovative Approaches	UNPLANNED		3.24%	0.73%
			100.00%	22.49%

## Table 4 of the Strategic Plan

Level	% of Target	Estimated # of Contracting Parties	Estimated Total Contribution for USD 116 Million Objective	Estimated Total Contribution for USD 50 Million Working Target
Tier I	Up to 15	3	Up to USD 52.2 Million	Up to USD 22.5 Million
Actual		<b>1</b>	<b>7.33 million</b>	
Tier II	Up to 5	7	Up to USD 40.6 Million	Up to USD 17.5 Million
Actual		<b>3</b>	<b>13.68 million</b>	
Tier III	Up to 2	10	Up to USD 23.2 Million	Up to USD 10 Million
Actual		<b>7</b>	<b>3.17 million</b>	

# Table 5 of the Strategic Plan

Table 5: Plan to secure the USD 116 million objective in commitments over a 5-year period.

	Year 1 (18 months)	Year 2	Year 3	Year 4	Year 5
Cumulative Target (USD million)	10	27	50	80	116
Annual Target (USD million)	10	17	23	30	36
# Contracting Party contributions	5-7	6-8	6-8	10-14	10-14
% Contributed by Contracting Party	98- 100%	90-95%	90-93%	80-85%	75-85%
# other contributors	0 – 3	2-4	4-6	6-10	8-12

	Year 1 (18 mths)	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
Cumulative Target (USD million)	5.24	6.06	8.11	15.78	17.82	20.90	22.78
Annual Target (USD million)	5.24	0.81	2.06	7.67	2.04	3.08	1.88
# Contracting Party contributions	11	3	5	3	6	6	5
% Contributed by Contracting Party	100%	100%	61%	100%	66%	100%	79%
# other contributors	0	0	1	1	1	0	2

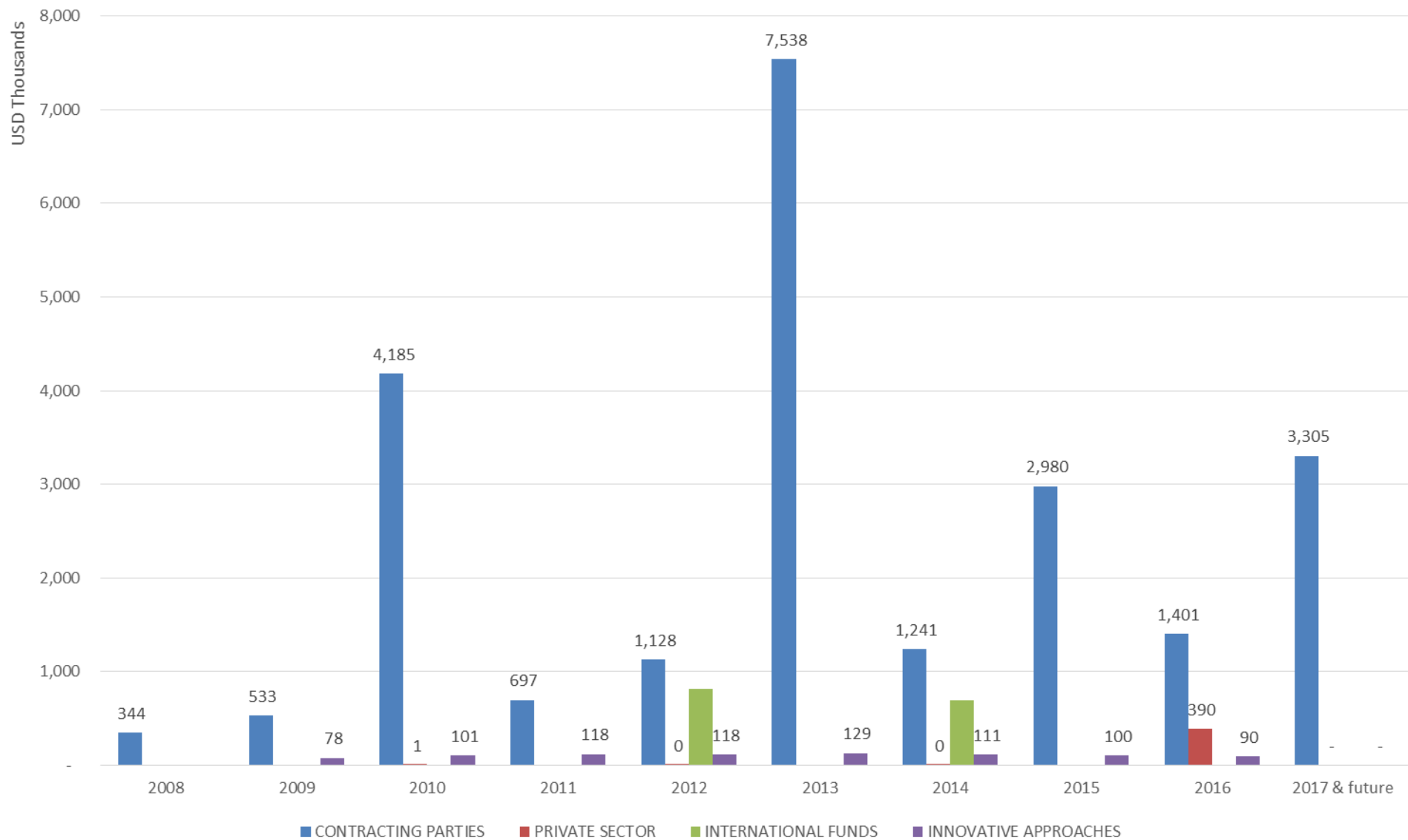
## Table 21 of the Strategic Plan

Table 21: Plan to secure a working target of USD 50 million in commitments over a 5-year period, for resourcing and staffing purposes, for internal use and guidance of the Secretariat

	Year 1 (18 months)	Year 2	Year 3	Year 4	Year 5
Cumulative Target (USD)	2.5 million	8 million	18 million	32 million	50 million
Annual Target	2.5 million	5.5 million	10 million	14 million	18 million
# Contracting Party Contributions	3-5	4-6	4-6	8-12	8-12
% Contributed by Contracting Party	99- 100	95-98	90-95	88-93	85-90
# other contributors	0 – 1	1-3	2-4	3-5	4-8

	Year 1 (18 mths)	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
Cumulative Target (USD million)	5.24	6.06	8.11	15.78	17.82	20.90	22.78
Annual Target (USD million)	5.24	0.81	2.06	7.67	2.04	3.08	1.88
# Contracting Party contributions	11	3	5	3	6	6	5
% Contributed by Contracting Party	100%	100%	61%	100%	66%	100%	79%
# other contributors	0	0	1	1	1	0	2

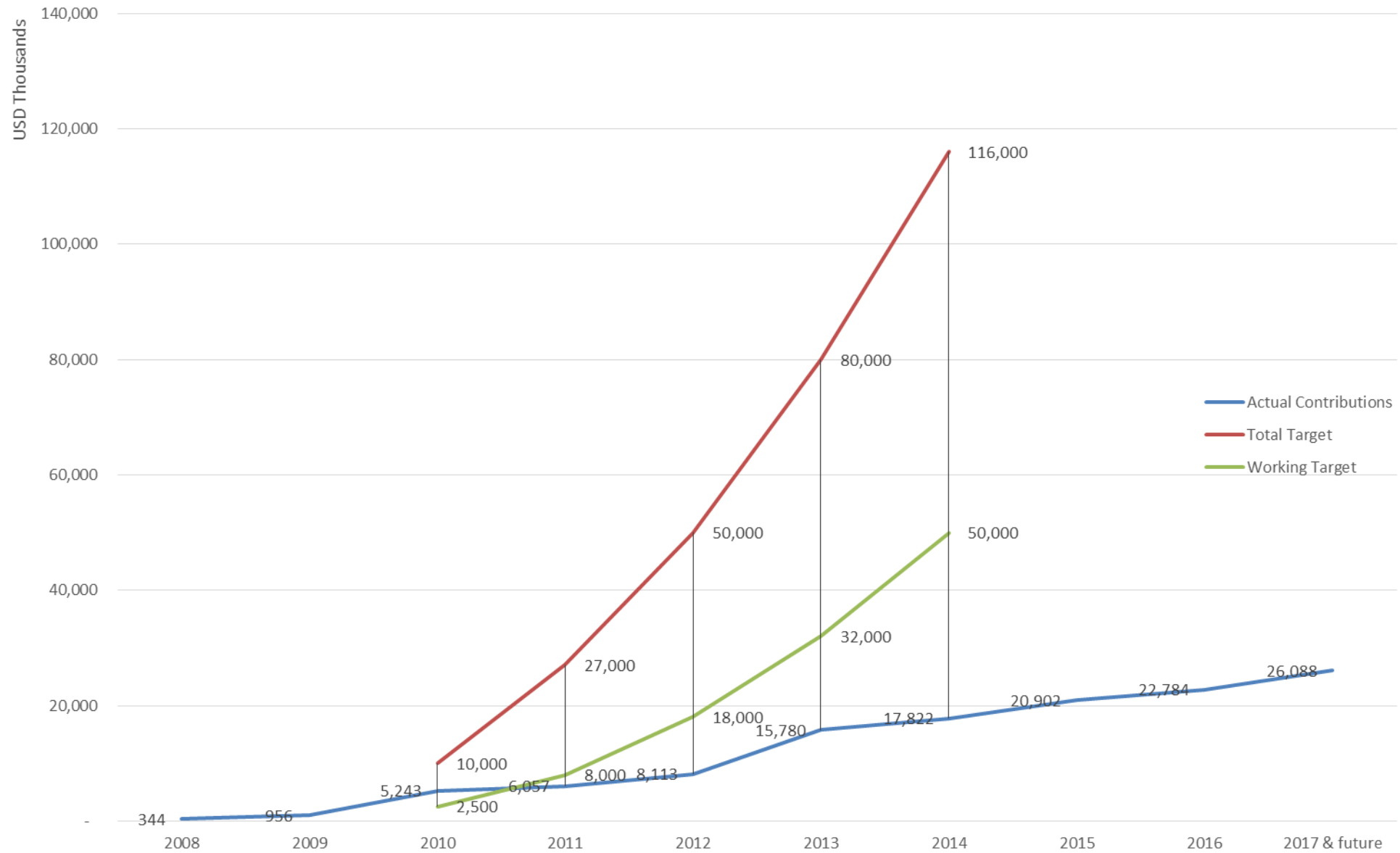
Annual Contributions to the Benefit-sharing Fund  
by Donor Segment



## Annual Contributions by Donor Segment

	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017 & future	Total
<b>CONTRACTING PARTIES</b>	344,476	533,127	4,184,751	696,547	1,127,833	7,538,457	1,241,185	2,979,736	1,401,400	3,304,540	<b>23,352,052</b>
<b>PRIVATE SECTOR</b>			1,190		21		86		389,916	-	<b>391,213</b>
<b>FOUNDATIONS</b>											-
<b>INDIVIDUALS</b>											-
<b>TOTAL STRATEGIC PLAN</b>	344,476	533,127	4,185,941	696,547	1,127,854	7,538,457	1,241,271	2,979,736	1,791,316	3,304,540	<b>23,743,265</b>
<b>INTERNATIONAL FUNDS</b>					810,525		689,475				<b>1,500,000</b>
<b>INNOVATIVE APPROACHES</b>		78,000	101,369	117,789	117,554	128,531	111,351	100,246	90,332	-	<b>845,172</b>
<b>TOTAL UNPLANNED</b>	-	78,000	101,369	117,789	928,079	128,531	800,826	100,246	90,332	-	<b>2,345,172</b>
<b>GRAND TOTAL</b>	<b>344,476</b>	<b>611,127</b>	<b>4,287,310</b>	<b>814,336</b>	<b>2,055,933</b>	<b>7,666,988</b>	<b>2,042,097</b>	<b>3,079,982</b>	<b>1,881,648</b>	<b>3,304,540</b>	<b>26,088,437</b>

### Cumulative Contributions to the Benefit-sharing Fund

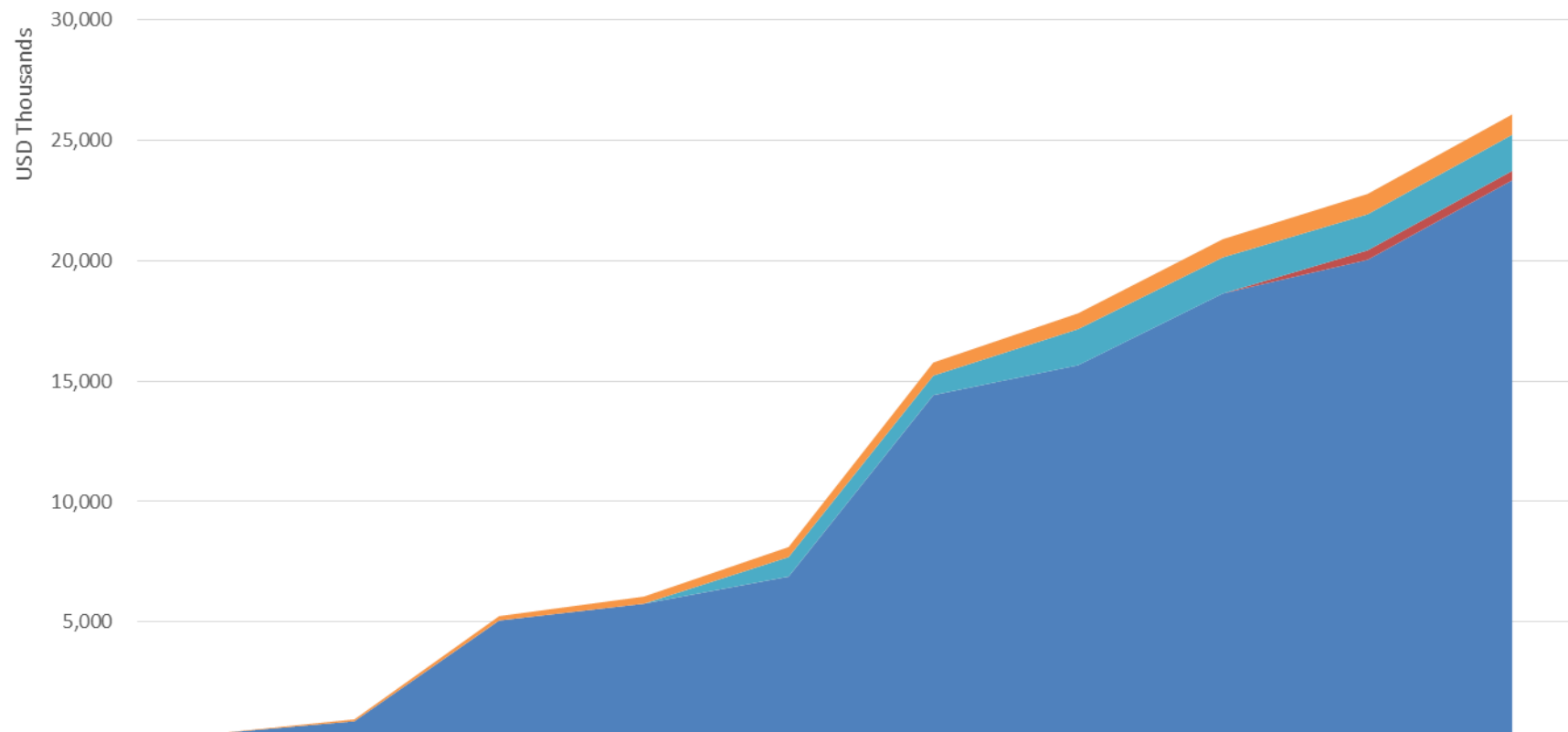


## Actual income July 2009 – December 2016, compared to the target in *The Strategic Plan 2009 – 2014* (Fig. 2)

	TOTAL TARGET		WORKING TARGET		ACTUAL			VARIANCE vs TOTAL TARGET		VARIANCE vs WORKING TARGET	
	%	USD	%	USD	% TOTAL	% WORKING	USD	%	USD	%	USD
<b>CONTRACTING PARTIES</b>											
Australia							1,588,815				
Austria							24,176				
Germany							563,096				
European Commission							5,624,584				
Indonesia							100,000				
Ireland							659,800				
Italy							5,709,576				
Norway							6,495,062				
Spain							2,348,935				
Sweden							209,395				
Switzerland							28,612				
<b>Sub-total</b>	<b>75–85%</b>	<b>±92,800,000</b>	<b>75–85%</b>	<b>40,000,000</b>	<b>25.16%</b>	<b>58.38%</b>	<b>23,352,052</b>	<b>-74.84%</b>	<b>(69,447,948.44)</b>	<b>-41.62%</b>	<b>(16,647,948.44)</b>
<b>OTHER COUNTRIES</b>											
<b>Sub-total</b>	<b>0–1%</b>	<b>±580,000</b>	<b>0–1%</b>	<b>250,000</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0</b>	<b>-100.00%</b>	<b>(580,000.00)</b>	<b>-100.00%</b>	<b>(250,000.00)</b>
<b>PRIVATE SECTOR</b>											
Canadian seed company							2,182				
European Seed Association							339,751				
International Seed Federation							49,280				
<b>Sub-total</b>	<b>7–11%</b>	<b>±10,440,000</b>	<b>7–11%</b>	<b>4,500,000</b>	<b>3.75%</b>	<b>8.69%</b>	<b>391,213</b>	<b>-96.25%</b>	<b>(10,048,787.00)</b>	<b>-91.31%</b>	<b>(4,108,787.00)</b>
<b>FOUNDATIONS</b>							0				
<b>Sub-total</b>	<b>7–11%</b>	<b>±10,440,000</b>	<b>7–11%</b>	<b>4,500,000</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0</b>	<b>-100.00%</b>	<b>(10,440,000.00)</b>	<b>-100.00%</b>	<b>(4,500,000.00)</b>
<b>INDIVIDUALS</b>							0				
<b>Sub-total</b>	<b>1–2%</b>	<b>±1,740,000</b>	<b>1–2%</b>	<b>750,000</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0</b>	<b>-100.00%</b>	<b>(1,740,000.00)</b>	<b>-100.00%</b>	<b>(750,000.00)</b>
<b>TOTAL FUNDING STRATEGY</b>	<b>100%</b>	<b>116,000,000</b>	<b>100%</b>	<b>50,000,000</b>	<b>20.47%</b>	<b>47.49%</b>	<b>23,743,265</b>	<b>-79.53%</b>	<b>(92,256,735)</b>	<b>-52.51%</b>	<b>(26,256,735)</b>
<b>UNPLANNED:</b>											
<b>INTERNATIONAL FUNDS</b>											
IFAD							1,500,000				
<b>Sub-total</b>	<b>Unplanned</b>	<b>116,000,000</b>	<b>Unplanned</b>	<b>50,000,000</b>	<b>1.29%</b>	<b>3.00%</b>	<b>1,500,000</b>		<b>1,500,000</b>		<b>1,500,000</b>
<b>UNPLANNED:</b>											
<b>INNOVATIVE APPROACHES</b>											
Norwegian initiative:											
1.1% of national seed sales							838,756				
Seed trade licencing platform							6,416				
<b>Sub-total</b>	<b>Unplanned</b>	<b>116,000,000</b>	<b>Unplanned</b>	<b>50,000,000</b>	<b>0.73%</b>	<b>1.69%</b>	<b>845,172</b>		<b>845,172</b>		<b>845,172</b>
<b>TOTAL UNPLANNED</b>	<b>Unplanned</b>	<b>116,000,000</b>	<b>Unplanned</b>	<b>50,000,000</b>	<b>2.02%</b>	<b>4.69%</b>	<b>2,345,172</b>		<b>2,345,172</b>		<b>2,345,172</b>
<b>GRAND TOTAL</b>	<b>Planned &amp; unplanned</b>	<b>116,000,000</b>	<b>Planned &amp; unplanned</b>	<b>50,000,000</b>	<b>22.49%</b>	<b>52.18%</b>	<b>26,088,437</b>	<b>-77.51%</b>	<b>(89,911,563)</b>	<b>-47.82%</b>	<b>(23,911,563)</b>

Actual income July 2009 - March 2017, compared to the target in *The Strategic Plan 2009–2014*

### Cumulative Contributions to the Benefit-sharing Fund By Donor Segment



	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017 & future
INNOVATIVE APPROACHES	-	78,000	179,369	297,158	414,712	543,243	654,594	754,840	845,172	845,172
INTERNATIONAL FUNDS	-	-	-	-	810,525	810,525	1,500,000	1,500,000	1,500,000	1,500,000
INDIVIDUALS	-	-	-	-	-	-	-	-	-	-
FOUNDATIONS	-	-	-	-	-	-	-	-	-	-
PRIVATE SECTOR	-	-	1,190	1,190	1,211	1,211	1,297	1,297	391,213	391,213
CONTRACTING PARTIES	344,476	877,603	5,062,354	5,758,901	6,886,734	14,425,191	15,666,376	18,646,112	20,047,512	23,352,052

■ CONTRACTING PARTIES  
 ■ PRIVATE SECTOR  
 ■ FOUNDATIONS  
 ■ INDIVIDUALS  
 ■ INTERNATIONAL FUNDS  
 ■ INNOVATIVE APPROACHES

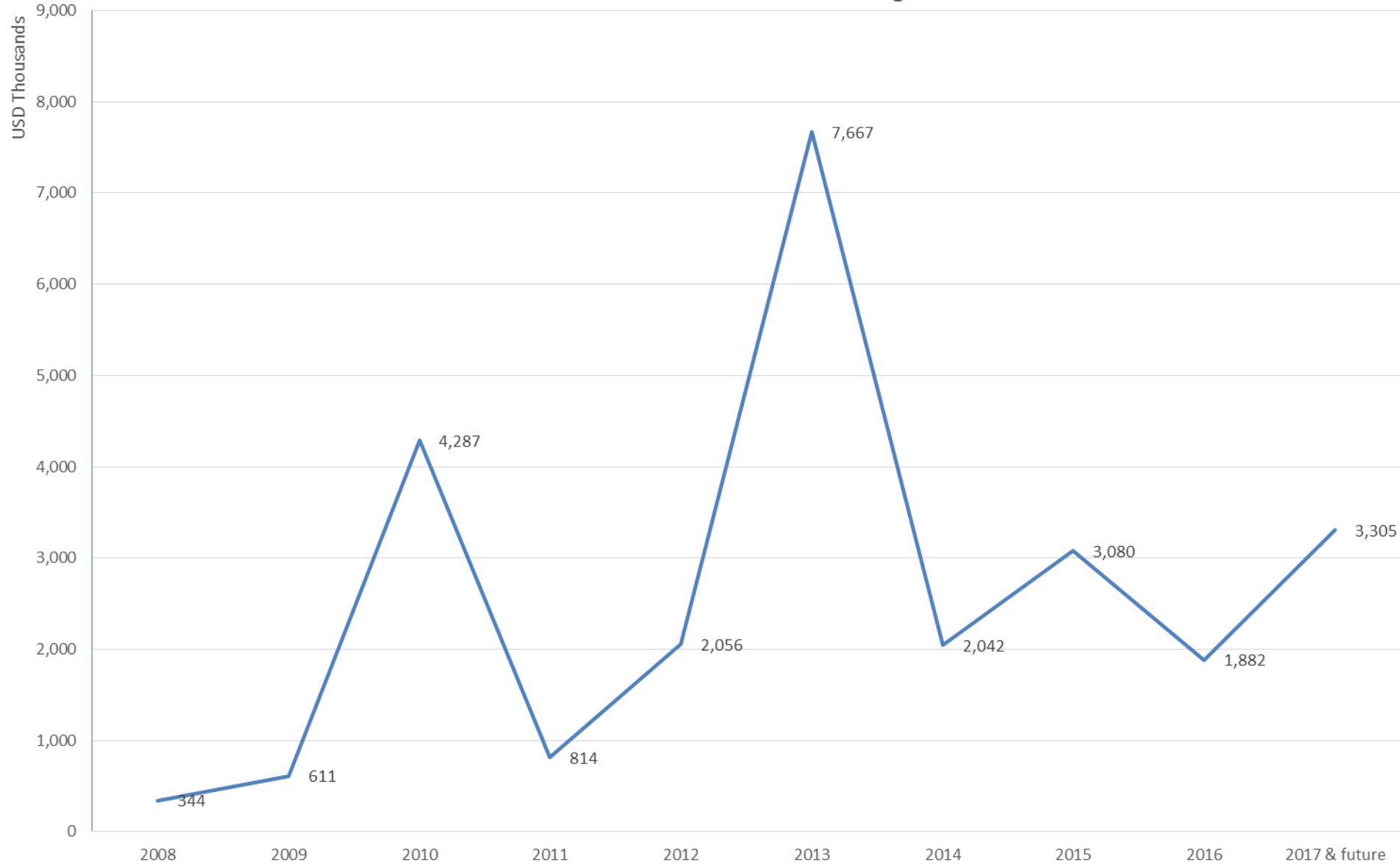
## Progress so far ...



## Annual Contributions to the Benefit-sharing Fund by Donor

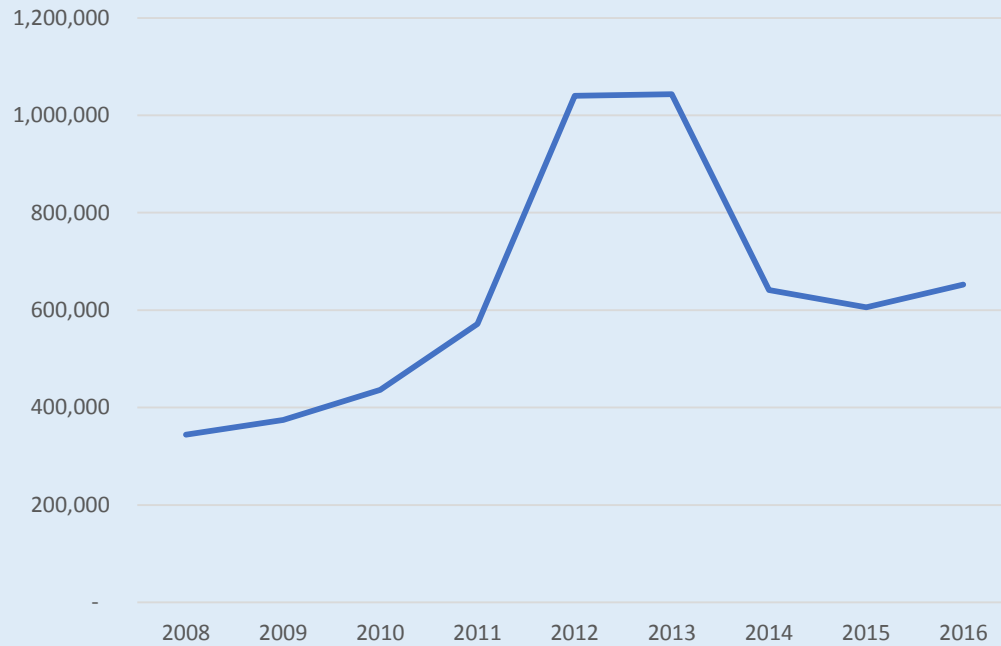
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	Donor Total
Australia			870,000						718,815		<b>1,588,815</b>
Austria							24,176				<b>24,176</b>
Canada			1,190		21		86		885		<b>2,182</b>
European Commission				125,119				2,194,927		3,304,539	<b>5,624,584</b>
European Seed Association									339,751		<b>339,751</b>
Germany					87,435		475,661				<b>563,096</b>
IFAD					810,525		689,475				<b>1,500,000</b>
Indonesia							100,000				<b>100,000</b>
International Seed Federation									49,280		<b>49,280</b>
Ireland			659,800								<b>659,800</b>
Italy	344,476	374,515	436,016	571,429	1,040,398	1,043,395	641,348	605,714	652,285		<b>5,709,576</b>
Norway		78,000	101,369	117,789	117,554	6,617,177	111,351	100,246	90,332		<b>7,333,818</b>
Spain		130,000	2,218,935								<b>2,348,935</b>
Sweden								179,095	30,300		<b>209,395</b>
Switzerland		28,612									<b>28,612</b>
Syngenta						6,416					<b>6,416</b>
<b>Annual Total</b>	<b>344,476</b>	<b>611,127</b>	<b>4,287,310</b>	<b>814,337</b>	<b>2,055,934</b>	<b>7,666,988</b>	<b>2,042,097</b>	<b>3,079,982</b>	<b>1,881,648</b>	<b>3,304,539</b>	<b>26,088,437</b>

Annual Contributions to the Benefit-sharing Fund

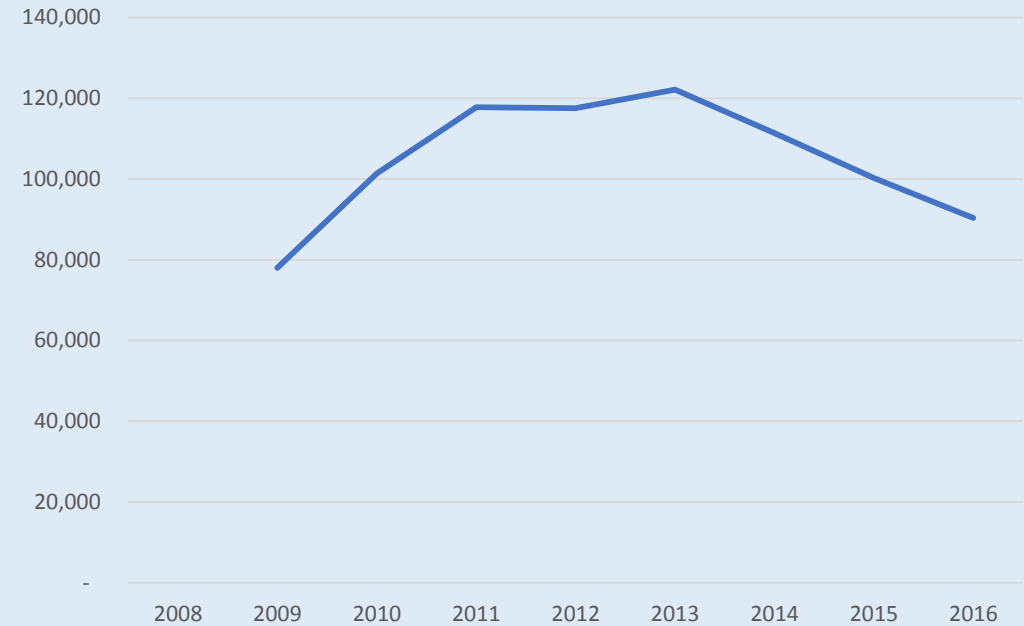


# Receipt Trends

## Italy - annual contributions



## Norway - 1.1% of national seed sales



## *Lessons learned on resource mobilization from 2016*

- ✓ **Lesson learned 1:** The funding landscape is changing
- ✓ **Lesson learned 2:** The BSF can evolve and adapt to donor and recipient needs and increase its potential to attract adequate, diverse and sustainable funding
- ✓ **Lesson learned 3:** The Funding Strategy review should consider and respond to emerging funding trends, provide flexibility to adapt to a changing environment and ensure an efficient and coherent funding approach across Treaty mechanisms
- ✓ **Lesson learned 4:** A collaborative and coordinated effort to raise awareness of PGRFA could be included in the Funding Strategy to help direct more funds to the sector as a whole

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## Ad-Hoc Committee on the Funding Strategy

***THANK YOU!!!***



20-21 March 2017, Rome, Italy

Secretariat of the International Treaty on PGRFA,  
Food and Agriculture Organization of the United Nations

Presentation delivered at Special Event on the Enhancement of the Funding Strategy of the International Treaty.  
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